

Policy on Glamorgan-Gwent Archaeological Trust Advisors recommending suitability of Consultants or Practitioners to undertake archaeological works

Policy

Advisors employed by the Glamorgan-Gwent Archaeological Trust will only recommend that archaeological works are managed by Members of the Chartered Institute of Archaeologists or Organisations Registered with the Institute.

Members will be full Members of the Chartered Institute for Archaeologists and not Associates or Practitioners.

Policy First Approved by the Board of Trustees on 23 October 2014 and later updated to reflect change from Institute for Archaeologists to Chartered Institute for Archaeologists

Reason

The Trusts advisory teams and particularly the Archaeological Planning Management team may be asked to approve *Archaeological Consultants* or *Archaeological Practitioners* identified to carry out archaeological works. This particularly occurs during development control works for both pre-submission assessments and evaluations and post-determination mitigation works covered by conditions.

The Trust advisory teams need to take care in giving such approvals and this policy is intended to set the parameters for giving such advice within the contexts of professional requirement, liability, enforcement and redress.

Professional Requirement

The Trust is an organisation registered with the Chartered Institute for Archaeologists. As such all staff are caught by the Institute's Codes of Conduct and Standards and Guidance and must act accordingly.

In this particular circumstance the Standard and Guidance for Archaeological Advice by Historic Environment Services (2013) is particularly relevant, *inter alia* Advice needs to be *Consistent, Compliant, and Impartial*.

Section 11.1.2 of the Standard requires:

Advisors should seek to ensure the compliance of all archaeological work with CIfA standards and guidance and the agreed specification, and should monitor the quality of all stages of assessment, investigation and post-investigation work.

Section 11.1.5 of the Standard requires:

Advisors should seek to ensure that archaeological investigation is undertaken only by practices or individuals that can demonstrate adherence to CIfA or other recognised standards. To facilitate this they should consider requiring that suppliers be professionally accredited by the CIfA's Registration scheme or other recognised accreditation scheme. Advisors should not use local lists of suppliers unless they are compiled and monitored using criteria at least as stringent as those for CIfA registration.

On these grounds the Trust should ensure that it only advises that historic environment works are carried out by practices or individuals thus defined.

Liability

In giving advice *Advisors* need to ensure that they do so in a manner that would not imperil the Trust's Professional Indemnity Cover. Performance to the Chartered Institute for Archaeologists Codes of Conduct and Standards and Guidance is a test in this cover.

On these grounds the Trust should ensure that it only advises that historic environment works are managed by practices or individuals who are members of or organisations registered with the Chartered Institute for Archaeologists.

Enforcement and address

Where archaeological works that have arisen as a consequence of mitigation conditions attached to a planning consent are not delivered or not delivered properly it is possible for the Trust to advise the relevant local authority to use enforcement powers to ensure that the consent is properly discharged. However, there is no redress against the *Archaeological Practitioner* undertaking the works.

However, if the works are undertaken by a member of or an organisation registered with the Chartered Institute for Archaeologists then redress is possible through the Institute's Complaints, Investigations, and Disciplinary Procedures.

For this reason the Trust should ensure that it only advises that historic environment works are managed by practices or individuals who are members of or organisations registered with the Chartered Institute for Archaeologists.

Definitions (after CIfA S&G)

Advisor

An appropriately qualified and experienced individual or organisation providing archaeological advice and information on the designated and undesignated terrestrial and marine historic environment. An advisor may be employed by or contracted to a local authority, national heritage body or regulator, charitable trust, national park, or other public body.

Archaeological consultant

An organisation or individual providing expert archaeological analysis and advice. The term here does not apply to those providing field-based investigation and dissemination services.

Archaeological practitioner

An organisation or individual undertaking field based investigative, analytical or specialist work on archaeological sites or historic buildings within the development process, and who will prepare relevant reports and publications on that work.